



08-21-02  
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AUG 23 2002

**TC 1700**

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**  
**In re Application of: RICHARD C. BECKER et al.**

**Serial No.: 09/560,518**

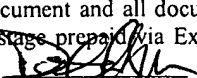
**Filed: 04/28/2000**

**For: METHOD FOR DEPOSITING BORON-RICH COATINGS**

**Examiner: PADGETT, MARIANNE L.**

**Art Unit: 1762**

**Att'y. Docket No.: Refrac-3**

I hereby certify that this document and all documents enclosed herewith are being sent to the Commissioner for Patents, Washington, DC 20231, postage prepaid via Express Mail, Express Mail Cert No. EU416596445US on 20 August 2002, name: D.N. Halgren, signed: 

**RESPONSE TO "NOTICE OF NON-COMPLIANT AMENDMENT"**

Hon. Commissioner for Patents

Washington, DC 20231

Dear Sir or Madam:

In response to the "Notice of Non-Compliant Amendment" letter dated 08/02/2002, enclosed herewith please find: Copy of Substitute Specification in Entirety, as explained in the attached copy of Examiner's letter. The pages amended are pages 5, line 2, and page 24, line 15 (of specification as originally filed) and they correspond to the Amendment filed on 26 July 2002. Since this correction is filed within 30 days of the mailing of the "Notice of Non-Compliant Amendment", no further extension of time is believed necessary.

Respectfully submitted,


  
Donald N. Halgren

Applicants' rep; Reg. No. 27056

35 Central Street

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#11  
  
8/23/02

AS-16



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, DC 20231  
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Paper No.

**Notice of Non-Compliant Amendment (37 CFR 1.121)**

The amendment filed on 7/26/02 is considered non-compliant because it has failed to meet the requirements of 37 CFR 1.121, as amended on September 8, 2000 (see 65 Fed. Reg. 54603, Sept. 8, 2000, and 1238 O.G. 77, Sept. 19, 2000). In order for the amendment to be compliant, applicant must supply the following omissions or corrections in response to this notice.

THE FOLLOWING ITEMS ARE REQUIRED FOR COMPLIANCE WITH RULE 1.121 (APPLICANT NEED NOT RE-SUBMIT THE ENTIRE AMENDMENT):

- ☐ 1. A clean version of the replacement paragraph(s)/section(s) is required. See 37 CFR 1.121(b)(1)(ii).
- ☐ 2. A marked-up version of the replacement paragraph(s)/section(s) is required. See 37 CFR 1.121(b)(1)(iii).
- ☐ 3. A clean version of the amended claim(s) is required. See 37 CFR 1.121(c)(1)(i).
- ☐ 4. A marked-up version of the amended claim(s) is required. See 37 CFR 1.121(c)(1)(ii).

Explanation: Amended paragraphs are to be submitted in entirety or submit substantially specific type in entirety - no substantial pages permitted.

(LIE: Please provide specific details for correction to assist the applicant. For example, "the clean version of claim 6 is missing.")

For further explanation of the amendment format required by 37 CFR 1.121, see MPEP § 714 and the USPTO website at <http://www.uspto.gov/web/offices/dcom/olia/pbg/sampleaf.pdf>. A condensed version of a sample amendment format is attached.

- ☐ **PRELIMINARY AMENDMENT:** Unless applicant **supplies the omission or correction** to the preliminary amendment in compliance with revised 37 CFR 1.121 noted above within ONE MONTH of the mail date of this letter, examination on the merits may commence without entry of the originally proposed preliminary amendment. This notice is not an action under 35 U.S.C. 132, and this ONE MONTH time limit is not extendable.

- ☒ **AMENDMENT AFTER NON-FINAL ACTION:** Since the above-mentioned reply appears to be *bona fide*, applicant is given a TIME PERIOD of ONE MONTH or THIRTY DAYS from the mailing of this notice, whichever is longer, within which to **supply the omission or correction noted above** in order to avoid abandonment. EXTENSIONS OF THIS TIME PERIOD MAY BE GRANTED UNDER 37 CFR 1.136(a).

Areda Nelson  
Legal Instruments Examiner (LIE)